



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,147	02/13/2001	Rodger Erickson	05313.00002

CONFIRMATION NO. 1518

## FORMALITIES LETTER



\*OC000000006087437\*

RECEIVED

MAY 22 2001

Date Mailed: 05/17/2001

BANNER &amp; WITCOFF, LTD.

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$180.
  - \$180 for 20 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 600.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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01 FC:201	355.00 CH
02 FC:203	180.00 CH
03 FC:205	65.00 CH

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*A M*  
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

Dated: June 12, 2001

*Thomas L. Evans*

Thomas L. Evans

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Atty. Docket No.  
05313.00002

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Rodger D. Erickson

Examiner:

U.S. Pat. App. No.: 09/783,147

Group Art Unit: 2151

Filed: February 13, 2001

Title: DISTRIBUTED CACHE FOR STATE TRANSFER OPERATIONS

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, dated May 17, 2001, transmitted herewith are the following:

1. A copy of Notice to File Missing Parts of Application, mailed May 17, 2001;
2. Assignment of the above-identified U.S. patent application to Aventail Corporation, together with a Recordation Form Cover Sheet for the Assignment;

3. Declaration and Power of Attorney; and
4. A Formal Drawing Transmittal with six sheets of formal drawings.

**FEE COMPUTATION**

Filing Fee	\$535.00
Surcharge	\$ 65.00
Assignment Recording Fee	\$ <u>40.00</u>
 TOTAL:	
	\$640.00

The Commissioner is hereby authorized to charge Deposit Account No. 19-0733 in the amount of \$640.00, in response to the Notice to File Missing Parts of Application. Please charge any additional fees, or credit any overpayment, associated with this submission to said Deposit Account.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

By: Thomas L. Evans  
Thomas L. Evans, Reg. No. 35,805  
1001 G Street, N.W., 11<sup>th</sup> Floor  
Washington, D.C. 20001-4597  
Telephone: (202) 508-9100  
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Dated: June 12, 2001

  
*Thomas L. Evans*  
Thomas L. Evans

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Atty. Docket No.  
05313.00002

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Rodger D. Erickson

Examiner:

U.S. Pat. App. No.: 09/783,147

Group Art Unit: 2151

Filed: February 13, 2001

Title: DISTRIBUTED CACHE FOR STATE TRANSFER OPERATIONS

**FORMAL DRAWING TRANSMITTAL**

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith are six sheets of formal drawings (FIGS. 1-5B) for the above-referenced patent application.

Atty. Docket No.  
05313.00002

Applicants respectfully request the Draftsperson's approval of these formal drawings.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: June 12, 2001

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Thomas L. Evans, Reg. No. 35,805  
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